

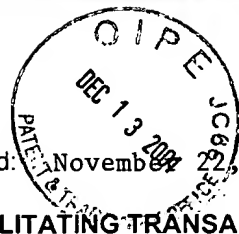
# DECLARATION FOR UTILITY PATENT APPLICATION

Attorney Docket No.: 064.0001

First Named Inventor: Joseph A. GRUNDFEST et al.

Application Serial No.: 09/718,097

Filed: November 22, 2000



Title of the Application: **SYSTEM AND METHOD FOR FACILITATING TRANSACTION PROCESSING AND DISPOSITION WITHIN AN ACCESS CONTROLLED ENVIRONMENT VIA A GLOBAL NETWORK SUCH AS THE INTERNET**

As a below-named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention which is entitled:

**SYSTEM AND METHOD FOR FACILITATING TRANSACTION PROCESSING AND DISPOSITION WITHIN AN ACCESS CONTROLLED ENVIRONMENT VIA A GLOBAL NETWORK SUCH AS THE INTERNET,**

the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of the Federal Regulations, section 1.56.

As a named inventor, I hereby appoint the following registered practitioner to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

**Erik B. Cherdak, Reg. No. 39,936**

Please direct all correspondence to:

**Erik B. Cherdak & Associates, LLC.  
11300 Rockville Pike, Suite 906  
Rockville, Maryland 20852  
(301) 984-4700 – (301) 984-7696 Fax**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole Inventor: Joseph A. GRUNDFEST	Date: <u>Jan 2 2001</u>
Inventor's Signature: <u>[Signature]</u>	
Residence City: <u>Palo Alto</u> State: <u>CA</u> Country: <u>USA</u> Citizenship: <u>US</u>	
Post Office Address: <u>1700 Webster Street, Palo Alto, CA 94301</u>	

Name of Inventor: Joseph A. GODSIL	Date: <u>26 DEC 2000</u>
Inventor's Signature: <u>[Signature]</u>	
Residence City: <u>Danville</u> State: <u>CA</u> Country: <u>USA</u> Citizenship: <u>US</u>	
Post Office Address: <u>114 Sunhaven Road, Danville, VA 94506</u>	

Is an ADDITIONAL INVENTOR'S FORM attached? Y\_\_ N\_X\_\_

A 3

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS**  
**(37 CFR § 1.9(f) & § 1.27(c))**  
**SMALL BUSINESS CONCERN**

Atty. Docket: 064.0001

Applicant: Joseph A. GRUNDFEST et al.

Application No. 09/718,097

Filed: November 22, 2000

Entitled: **SYSTEM AND METHOD FOR FACILITATING TRANSACTION PROCESSING AND DISPOSITION WITHIN AN ACCESS CONTROLLED ENVIRONMENT VIA A GLOBAL NETWORK SUCH AS THE INTERNET**

I hereby declare that I am an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: **ARTICLE III, INC.**

ADDRESS OF SMALL BUSINESS CONCERN: **2501 Harrison Street, San Francisco, CA**

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR § 121.2, and reproduced in 37 CFR § 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract have been conveyed to and remain with the small business concern identified above with regard to the invention described in the application identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR § 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR § 1.9(d), or a nonprofit organization under 37 CFR § 1.9(e). Each person, concern, or organization having any rights in the invention is listed below:

No such person, concern, or organization exists.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement of small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR § 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

TITLE OF PERSON SIGNING:

ADDRESS OF PERSON SIGNING: 2501 Harrison Street, San Francisco, CA

Signature: 

Date: 12/24/00